

11/20/01

J1025 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. LA-1279-342

First Inventor David J. Kisailus

Title COMPOUND FILMS USING CHEMICAL, etc.

Express Mail Label No. EL318837282US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 31]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 33]
5. Oath or Declaration [Total Pages 2]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

|         |                                                |           |                |          |                |
|---------|------------------------------------------------|-----------|----------------|----------|----------------|
| Name    | FULBRIGHT & JAWORSKI L.L.P.<br>Robert Berliner |           |                |          |                |
| Address | 865 South Figueroa<br>Twenty-Ninth Floor       |           |                |          |                |
| City    | Los Angeles                                    | State     | CA             | Zip Code | 90017-2571     |
| Country | US                                             | Telephone | (213) 892-9200 | Fax      | (213) 680-4518 |

|                   |                 |                                   |                   |
|-------------------|-----------------|-----------------------------------|-------------------|
| Name (Print/Type) | Robert Berliner | Registration No. (Attorney/Agent) | 20,121            |
| Signature         |                 | Date                              | November 20, 2001 |

**Transmittal-New Utility Patent Application**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL318837282US, in an envelope addressed to: Box Patent Application, Commissioner for Trademarks, 2000 Crystal Drive, Arlington, VA 22201-3514, on the date shown below.

Dated: November 20, 2001

Signature: (Jean Bove)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | Not Yet Assigned  |
| Filing Date          |                   |
| First Named Inventor | David J. Kisailus |
| Examiner Name        | Not Yet Assigned  |
| Group Art Unit       | N/A               |
| Attorney Docket No.  | LA-1279-342       |

TOTAL AMOUNT OF PAYMENT (\$) 460.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0337

Deposit  
Account  
Name

Fulbright &amp; Jaworski L.L.P.

- ☒ Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17

- ☒ Applicant claims small  
entity status See  
37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     | 370.00   |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$) 370.00

## 2. EXTRA CLAIM FEES

|                       |    |         | Extra<br>Claims |   | Fee from<br>below |   | Fee Paid |
|-----------------------|----|---------|-----------------|---|-------------------|---|----------|
| Total Claims          | 30 | -20** = | 10              | X | 18.00             | = | 90.00    |
| Independent<br>Claims | 3  | -3** =  |                 | X |                   | = | 0.00     |
| Multiple Dependent    |    |         |                 |   |                   | = |          |

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description                                            |
|----------|----------|----------|----------|------------------------------------------------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) |                                                            |
| 103      | 18       | 203      | 9        | Claims in excess of 20                                     |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                          |
| 104      | 280      | 204      | 140      | Multiple dependent claim, if not paid                      |
| 109      | 84       | 209      | 42       | ** Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 90.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description                                                            | Fee Paid |
|--------------|----------|--------------|----------|----------------------------------------------------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                                                            |          |
| 105          | 130      | 205          | 65       | Surcharge - late filing fee or oath                                        |          |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 130      | 139          | 130      | Non-English specification                                                  |          |
| 147          | 2,520    | 147          | 2,520    | For filing a request for ex parte reexamination                            |          |
| 112          | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116          | 400      | 216          | 200      | Extension for reply within second month                                    |          |
| 117          | 920      | 217          | 460      | Extension for reply within third month                                     |          |
| 118          | 1,440    | 218          | 720      | Extension for reply within fourth month                                    |          |
| 128          | 1,960    | 228          | 980      | Extension for reply within fifth month                                     |          |
| 119          | 320      | 219          | 160      | Notice of Appeal                                                           |          |
| 120          | 320      | 220          | 160      | Filing a brief in support of an appeal                                     |          |
| 121          | 280      | 221          | 140      | Request for oral hearing                                                   |          |
| 138          | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240          | 55       | Petition to revive - unavoidable                                           |          |
| 141          | 1,280    | 241          | 640      | Petition to revive - unintentional                                         |          |
| 142          | 1,280    | 242          | 640      | Utility issue fee (or reissue)                                             |          |
| 143          | 460      | 243          | 230      | Design issue fee                                                           |          |
| 144          | 620      | 244          | 310      | Plant issue fee                                                            |          |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner                                              |          |
| 123          | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)                                        |          |
| 126          | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146          | 740      | 246          | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149          | 740      | 249          | 370      | For each additional invention to be examined (37CFR 1.129(b))              |          |
| 179          | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

## SUBMITTED BY

Name (print/type) Robert Berliner

Registration No.  
(Attorney/Agent)

20,121

Complete (if applicable)

Telephone (213) 892-9237

Signature

Date

November 20, 2001

## Fee Transmittal

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Dated: November 20, 2001

Signature

(Jean Bove')